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JC07 Rec'd PCT TO 27 DEC 2001FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 11-2000)

ATTORNEY DOCKET NO.

970054.410USPC

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Unknown

10/019604

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/EP00/02158

INTERNATIONAL FILING DATE

11 March 2000 (11.03.2000)

PRIORITY DATE CLAIMED

30 June 1999 (30.06.1999)

TITLE OF INVENTION

**WIND POWER PLANT PROVIDED WITH CAST SHADOW CONTROL**

APPLICANT(S) FOR DO/EO/US

**WOBBEN, Alloys**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items of information:

U.S. APPLICATION NO. (If known, See 37 CFR 1.51) <b>Unknown</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP00/02158</b>	ATTORNEY'S DOCKET NUMBER <b>970054.410USPC</b>
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21. ☒ The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
 and International Search Report not prepared by the EPO or JPO..... \$1040.00

International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search Report prepared by the EPO or JPO..... \$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
 but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months  
 from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	9 - 20 =	0	x \$ 18.00	\$00.00
Independent Claims	6 - 3 =	3	x \$ 84.00	\$252.00
Multiple dependent claim(s) (if applicable)				+ \$280.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>= \$1272.00</b>

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are  
 reduced by 1/2.

**SUBTOTAL = \$1272.00**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☒ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE = \$1402.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

**TOTAL FEES ENCLOSED = \$1402.00**

**CALCULATIONS**  
 PTO USE ONLY

\$890.00

\$130.00

\$00.00

\$252.00

\$00.00

\$1272.00

\$0.00

\$1272.00

\$130.00

\$1402.00

\$0.00

\$1402.00

Amount to be refunded:

charged

a. ☒ A check in the amount of \$1402.00 cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. in the amount of \$\_ to cover the above fees. A  
 duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.

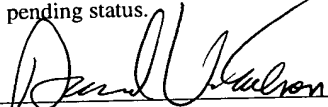
d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card  
 information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David V. Carlson  
 Seed Intellectual Property Law Group PLLC  
 701 5<sup>th</sup> Avenue, Suite 6300  
 Seattle, WA 98104-7092  
 United States of America  
 (206) 622-4900

  
 SIGNATURE

**David V. Carlson**  
 NAME

**31.153**  
 REGISTRATION NUMBER

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/08/2002 UEDUVIJE 00000087 10019604

01 FC:970	890.00	OP
02 FC:154	130.00	OP
03 FC:964	252.00	OP
04 FC:156	130.00	OP

Adjustment date: 06/04/2002 IEVANS  
01/08/2002 UEDUVIJE 00000087 10019604  
03 FC:964 -252.00 OP

06/04/2002 IEVANS 00000001 191090 10019604

01 FC:968	28.00	CH	252.00	OP
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